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Plaintiffs Kaeli Garner, Michael McNealy, Ricky Babani, Lorlie Tesoriero, Ronald Johnson, and Caron Watkins (collectively, the "Non-Registrant Plaintiffs" or "Non-Registrants"), and Jodi Brust, Diane McNealy, Jeffrey Hoyt, and Selena Johnson (the "Registrant Plaintiffs," or "Registrants," and together with the Non-Registrants, "Plaintiffs") respectfully move this Court pursuant to Rules 23(a), (b)(2), and (b)(3) of the Federal Rules of Civil Procedure to certify two Proposed Classes—the Non-Registrant Classes and the Nationwide Registrant Class (as defined herein)—and appointing Labaton Keller Sucharow LLP and Robbins Geller Rudman & Dowd LLP as Class Counsel pursuant to Rule 23(g). Excluded from the Proposed Classes are Defendants, Defendants' employees, officers, directors, legal representatives, heirs, successors, and wholly or partially owned subsidiaries or affiliated companies.

I. INTRODUCTION

Defendants Amazon.com, Inc. and Amazon.com Services LLC (collectively, "Amazon" or "Defendants") developed Alexa—a voice-operated tool used to respond to requests and execute commands—to illegally and surreptitiously intercept billions of private conversations. Using Alexa, Amazon recorded peoples' private conversations, created (with the assistance of unknown third-party contractors) verbatim transcripts of those recorded conversations in Alexa's vicinity, and used the recordings and transcripts for Amazon's commercial benefit. Amazon amassed private conversations of persons (both Registrants and Non-Registrants) without obtaining consent or providing compensation for their valuable and private information.

This surreptitious recording was not accidental – it was known by Amazon. Amazon knowingly intercepted private conversations through Alexa devices —referring to the interception as "false wakes"—to better train its algorithms without having to pay for this valuable data. The more data Amazon collects, the more Amazon benefits from Alexa. In fact, Amazon employees

The Alexa software operates through various algorithms and machine learning platforms that require large volumes of data inputs for continuous improvement training. A central component of this training involves improving Alexa's ability to distinguish between commands directed to Alexa

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and private conversations not intended for Alexa. Machine learning-based algorithms can be trained in a variety of ways that do not involve surreptitious recording, but these methods are costly, including, inter alia: paying for employee interactions; providing free devices in exchange for use of the resulting recordings; use of purchased data sets; and use of company-created data.

Amazon unilaterally opted for a different, more cost-effective, training strategy: training its algorithms by intercepting as many private conversations as possible—even when Amazon knew the conversation was not directed to an Alexa Device and feeding the conversations into its machine learning models for algorithm training. Amazon also allowed "human annotators" to *listen to* these conversations. And even when purportedly allowing for user deletion of voice recordings, Amazon stored transcripts of these private conversations. All of this was done without sufficient notice, without obtaining Registrants' consent and without obtaining the consent of Non-Registrants, for Amazon's collection and use of private conversations.

The Proposed Classes are defined as follows:

- (i) State-Law Non-Registrant Classes: All [California, Florida, Maryland, New Hampshire, Pennsylvania, Washington] residents who never registered an Alexa device and resided with a Registrant while an Alexa device was active and operational.¹
- (ii) Nationwide Registrant Class: All persons in the United States who registered one or more Alexa devices.

The Proposed Classes satisfy the requirements of Rules 23(a), (b)(2), and (b)(3) of the Fed. R. Civ. P. Specifically, the Non-Registrant Plaintiffs seek to certify the Non-Registrant Classes to bring wiretapping claims under the nearly-uniform laws of California, Florida, New Hampshire, Pennsylvania, and Washington who share common factors underlying their legal claims, including that Alexa recorded their private conversations that were not intended for or directed to Alexa.

Registrant Plaintiffs seek to certify the Nationwide Registrant Class to pursue a single claim Amazon's violation of the Washington Consumer Protection Act ("WCPA")) based on Amazon's

At least one Plaintiff resides in each of the States included in the Non-Registrant state Classes. See Declaration of

Michael Canty ("Canty Decl.") ¶2. All Exhibits ("Ex.") cited herein are attached to the Canty Decl. Byrnes • Keller • Cromwell Llp 38TH FLOOR

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uniform, unfair, and deceptive conduct (*i.e.*, Amazon's failure to disclose its retention and use of Registrants' recordings for its own commercial gain) that resulted in the same harm. In addition to the common facts and law, Registrant Plaintiffs propose a common Class-wide damages model.

The overwhelming common facts and law satisfy Rule 23(a)(2)'s commonality requirement and Rule 23(b)(3)'s predominance requirement. Separately, Plaintiffs seek injunctive relief under Rule 23(b)(2)² requiring Amazon to delete or otherwise stop using audio data, transcripts, or information obtained via recordings of conversations not intended for Alexa.

The Proposed Classes easily satisfy all other requirements under Rule 23(a). The Proposed Classes contain millions of individuals, thus meeting the numerosity requirement under Rule 23(a)(1). Plaintiffs' experiences are typical of the Proposed Classes, with no identifiable conflict between them, fully satisfying Rule 23(a)(3). In addition, all Plaintiffs have vigorously pursued the litigation, retained competent legal counsel, and endured burdensome discovery requests, showing each Plaintiff is an adequate class representative under Rule 23(a)(4).

For these reasons and the reasons provided further below, the Court should grant Plaintiffs' Motion and certify the Registrant Class and each of the Non-Registrant Classes.

II. FACTUAL BACKGROUND

Amazon is a multi-billion-dollar technology and e-commerce conglomerate.³ Amazon develops and sells consumer products, including Amazon's Alexa. Launched in 2014, Amazon marketed Alexa as a virtual assistant that can respond to user requests. *See* Ex. A at B-C.

A. Amazon's Alexa

Alexa Devices consist of the Alexa system (software) and hardware. *See* Ex. D. As explained below, each device is materially identical for all relevant purposes.

²⁶ Rule 23(b)(2) does not require showings of superiority or predominance.

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³ See Amazon.com, Inc., Annual Report (Form 10-K) (Feb. 3, 2021).

1 1. Alexa Hardware Amazon designed Alexa Devices to listen for sounds and transmit the data they collect to 2 Amazon. Generally, Alexa Devices contain a "seven-microphone circular array . . . for wake word 3 recognition," which enables devices to record sounds across large distances.⁴ While Alexa Devices 4 vary in shape and size, all contain at least one microphone to listen for a "wake word" (i.e., word 5 that triggers Alexa).⁵ When the microphone hears the wake word, it activates Alexa and opens a 6 stream that transmits audio to Amazon's cloud. See Exs. A at 8 ; D; XX §III. All Alexa Devices operate in the same manner because they 9 incorporate the same software. See Ex. D; E at 10 11 2. **Alexa System Software** Alexa, a cloud-based software incorporated into Alexa Devices, allows Amazon to intercept, 12 transcribe, use, and store communications. See Exs. D; F; G. This software operates with 13 numerous algorithms, most notably 14 . See id. 15 Each of these algorithms may independently ingest audio or transcripts of the audio 16 intercepted by Alexa through the same five-step process. First, Alexa is activated when the 17 microphone on the Alexa Device perceives the wake word.⁶ See Exs. A at 18 Second, upon activation, See id.; Ex. G. 19 Third, Alexa See 20 id.; Ex. E at This model 21 See Exs. D at; G. Fourth, the transcription is 22 23 24 ⁴ Ted Karczewski, Brining Far-Field Voice Technology from the Amazon Echo to Third-Party Devices, Amazon 25 Developer Blog (Apr. 13, 2017), https://developer.amazon.com/en-US/blogs/alexa/post/b80b4669-2675-4752-a171fa6aaa7e5aff/bringing-far-field-voice-technology-from-the-amazon-echo-to-third-party-device. 26 ⁵ See id. ⁶ Alexa Devices constantly record and overwrite all words and sounds until it thinks it detects the wake word. At that 27 point, the recording is continuous and streamed to AWS servers. See Ex. XX §III. 28

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2	See id. Finally, the information derived from the communication is
3	to generate a response by Alexa. See Ex. D at . After processing is
4	complete, Amazon stores a copy of the recorded communication, transcription, and intent (along
5	with other metadata) in . See Exs. E at H at
6	. This process occurs contemporaneously with the users' communication as it is
7	recorded by the Alexa device. See Exs. A at ; D at
8	·
9	Amazon hopes that its machine learning models continuously improve. But, to do that,
10	Amazon must ingest additional information. Each time Alexa processes a communication, the
11	utterance helps improve the system. See Ex. J. For this reason, Amazon recorded and used billions
12	of conversations. See Ex. K (confirming by
13). Amazon continues to use this data, including to train products not
14	invented when the recordings were first transcribed. ⁷
15	B. Amazon Knowingly Intercepts Communications Not Intended for Alexa
16	Alexa processing extends beyond commands directed to Alexa. Alexa routinely records
17	communications that <i>do not contain</i> the wake word. As a result, Amazon frequently intercepts and
18	records communications not intended for Alexa. See Ex. XX §III. Although not intended for Alexa
19	Amazon still uses these conversations for its own benefit—even those that Amazon identifies as
20	private. In fact, Amazon purposely designed Alexa to intercept communications not intended for
21	Alexa, because without those unintended communications, the Alexa system would not work. See
22	Ex. L at
23	
24	; Ex. M at
25	
26	7 See, e.g., Amazon, Build LLM-powered Alexa experiences, https://developer.amazon.com/en-US/alexa/alexa-ai (last
27	visited June 16, 2024).
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1	1. Conversations Not Intended for Alexa
2	Amazon refers to communications not intended for Alexa as "false wakes." See Exs. N; O;
3	P; Q at These unintended conversations are intercepted by Amazon and processed in the same
4	way as communications directed to Alexa. See infra, Section II(A)(2); Exs. N; O; P; Q at
5	Each Plaintiff identified countless communications that were intercepted, transcribed, used,
6	and/or stored by Amazon without Plaintiffs' knowledge or consent. For example:
7 8	• Kaeli Garner: On October 1, 2018, Plaintiff Kaeli Garner's private communication in her home with her roommate was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. R
9 10 11	• Michael McNealy: On June 13, 2018, Plaintiff Michael McNealy's private communication in his home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. S ().
12 13	• Diane McNealy: On September 30, 2020, Plaintiff Diane McNealy's private communication in her home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. T (
14 15	• Ricky Babani: On March 18, 2020, Plaintiff Ricky Babani's private communication in his home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. U (
16 17 18	• Lorlie Tesoriero: On August 1, 2018, Plaintiff Lorelie Tesoriero's private communication in her home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. V (
19 20	• Jeffrey Hoyt: On November 19, 2018, Plaintiff Jeffrey Hoyt's private communication in his home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. W
21 22	• Ronald Johnson: On January 3, 2020, Plaintiff Ronald Johnson's private communication in his home was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. X ().
232425	• Selena Johnson: On December 1, 2019, Plaintiff Selena Johnson's private communication in her home with her minor grandson was intercepted, transcribed, and stored by Alexa. <i>See</i> Ex. Y ().
26 27	• Caron Watkins: On May 13, 2021, Plaintiff Caron Watkins' private communication regarding her work as a city prosecutor was intercepted, transcribed, and stored by Alexa. See Ex. Z ().
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1	; Ex. M at
2	
3	Amazon, in addition to using recordings of private conversations, also
4	—meaning Defendants could
5	, even for non-
6	Alexa products. See Ex. II
7). Amazon's full of private conversations defied its
8	recognition of
9	Ex. JJ (employee explaining that the
10); see Exs. KK; LL.
11	Evidencing Amazon's dismissal of consumer privacy, Amazon used
12	and to conduct .
13	See Ex. J; Ex. NN (explaining that
14); Ex. OO. Amazon never disclosed that, in addition to
15	recording and storing private communications, Amazon employed
16	·
17	D. Amazon Did Not Obtain Prior Consent from Registrants and Non-Registrants
18	Amazon failed to obtain consent from Non-Registrants and Registrants before intercepting
19	and using their private conversations.
20	Non-Registrants. Amazon never obtained consent from Non-Registrants for the recording,
21	interception, transcription, use, or storage of their private conversations. See Exs. R; S; U, V, X, Z
22). Defendants did not take any steps to put Non-Registrants on notice of
23	Amazon's surreptitious practices. See Ex. PP. Moreover, Non-Registrants did not and could not
24	know how the highly technical Alexa software operated to contemporaneously transmit audio from
25	Alexa Devices to Amazon's cloud and automatically feed the audio into its algorithms for
26	Amazon's own use. See Exs. R; S; U, V, X, Z (
27	
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Registrants. Amazon's terms of use and privacy policies are deficient because Amazon failed to disclose Defendants' extensive technical uses and indefinite storage of Registrants' data. *See* Ex. PP. Specifically, Defendants do not inform Registrants: (i) of Amazon's use of human annotators to listen to and review Registrants' recordings (both intended and not intended for Alexa); and (ii) of Amazon's inability to delete communications upon request.

III. LEGAL STANDARD

"Rule 23 provides a procedural mechanism for a federal court to adjudicate claims of multiple parties at once, instead of in separate suits." *Olean Wholesale Grocery Coop., Inc. v. Bumble Bee Foods LLC*, 31 F.4th 651, 663 (9th Cir. 2022). "To take advantage of Rule 23's procedure for aggregating claims, plaintiffs must make two showings[:]" (1) that the class meets the requirements of Rule 23(a)—numerosity, commonality, typicality, and adequacy; and (2) for a Rule 23(b)(3) class, that common issues predominate and a class action is the superior method of adjudicating the dispute. *Id.* at 663–64. "[A] district court may conclude that the plaintiffs carried their burden of satisfying [Rule 23]" where "each class member could have relied on [uniform evidence] to establish liability if he or she had brought an individual action, and the evidence could have sustained a reasonable jury finding on the merits of a common question." *Id.* at 667-68.

IV. ARGUMENT

A. The Proposed Classes Satisfy Rule 23(a)'s Requirements

Under Rule 23(a)(1)–(4), a proposed class must meet four requirements: (1) "the class is so numerous that joinder is impracticable;" (2) "there are questions of law or fact common to the class;" (3) "the claims or defenses of the representative parties are typical of the claims or defenses of the class;" and (4) "the representative parties will fairly and adequately protect the interests of the class." The Proposed Classes readily satisfy these requirements.

⁸ Unless otherwise noted, all internal citations, quotations, and footnotes are omitted and emphasis is added.

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1. The Proposed Classes Are Sufficiently Numerous

2. The Proposed Classes Share Common Questions of Fact and Law

Commonality requires a showing that "there are questions of law or fact common to the class." Fed. R. Civ. P. 23(a)(2). This requirement is construed "permissively," and "[a]ll questions of fact and law need not be common." *Kumandan v. Google LLC*, 2023 WL 8587625, at *8 (N.D. Cal. Dec. 11, 2023). Instead, even "the existence of shared legal issues with divergent factual predicates is sufficient, as is a common core of salient facts coupled with disparate legal remedies within the class." *Id.* "A single common question of law or fact that resolves a central issue will be sufficient." *Castillo v. Bank of Am., NA*, 980 F.3d 723, 728 (9th Cir. 2020).

Here, Non-Registrants and Registrants, respectively, share common questions of law and fact. Those claims raise common issues that must be resolved with common evidence, meaning those issues will be determined in "one stroke." *Wal-Mart Stores, Inc. v. Dukes*, 564 U.S. 338, 350 (2011). The common issues among Non-Registrants include:

1. Whether Plaintiffs' evidence concerning false wakes establishes that Amazon intercepted communications of Non-Registrants that were not intended for Alexa;

- 2. Whether Alexa Devices are wiretapping "devices" as defined by state wiretapping statutes;
- 3. Whether Non-Registrants had a reasonable expectation of privacy over conversations occurring within a home;
- 4. Whether Amazon intended Alexa to intercept Non-Registrants' communications that were not directed to Alexa;
- 5. Whether Amazon knew Alexa intercepted Non-Registrants' communications that were not directed to Alexa, especially where Alexa itself determined those communications were unintended;
- 6. Whether Amazon failed to obtain prior express consent from the Non-Registrants; and
- 7. Whether Non-Registrants are entitled to statutory damages under the relevant state wiretapping statutes.

Registrants also share common issues of law and fact. Registrants bring a claim under the WCPA, which imposes liability for "[u]nfair methods of competition and unfair or deceptive acts or practices in the conduct of any trade or commerce." RCW § 19.86.020. The Registrant Plaintiffs' WCPA claim raises common issues, including:

- 1. Whether Amazon's interception, indefinite storage, and use of Registrants' recordings (including conversations not intended for Alexa) was an act or practice that was unfair or deceptive;
- 2. Whether Amazon omitted material information about the extent of Alexa's surreptitious recordings of communications not intended for Alexa;
- 3. Whether Amazon omitted material information about its use and retention of intercepted recordings Alexa obtained, even if its software determined that the conversation was not intended for Alexa;
- 4. Whether Amazon improperly benefited from its use and retention of intercepted recordings, even where its human reviewers or software determined that the conversation was not intended for Alexa;
- 5. Whether Amazon's unfair and deceptive acts or practices impacted the public interest;
- 6. Whether Registrants were injured by Amazon's omissions, acts, or practices that were likely to mislead or deceive a reasonable consumer

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- concerning Amazon's use and retention of intercepted conversations not intended for Alexa:
- Whether Registrants' damages model measures the damages due to 7. Amazon's unfair and deceptive practices; and
- 8. Whether Registrants have demonstrated that Amazon's actions in using and enjoying a continuing benefit of training its algorithms and machine learning platforms should enjoin further use of those algorithms and platforms.

Any one of these issues, alone, is sufficient to support the commonality requirement. Accordingly, commonality is satisfied as to the Proposed Classes.

3. Plaintiffs' Claims Are Typical of the Proposed Classes

Typicality requires a showing that "the claims or defenses of the representative parties are typical of the claims or defenses of the class." Fed. R. Civ. P. 23(a)(3). "[T]he question for 'typicality is whether other members have the same or similar injury, whether the action is based on conduct which is not unique to the named plaintiffs, and whether other class members have been injured in the same course of conduct." Weidenhamer, 2015 WL 7157282, at *10. "The requirement is permissive, such that representative claims are typical if they are reasonably coextensive with those of absent class members; they need not be substantially identical." Just Film, Inc. v. Buono, 847 F.3d 1108, 1116 (9th Cir. 2017). Here, Plaintiffs' claims are typical of the Proposed Classes they seek to represent.

Non-Registrant Plaintiffs, like all members of the Non-Registrant Classes, never registered Alexa Devices (see Exs. R, S, U, V, X, Z) and each Non-Registrant resided near an Alexa Device resulting in surreptitious recording by the device. *Id.*; see also Ades v. Omni Hotels Mgmt. Corp., 2014 WL 4627271, at *9 (C.D. Cal. Sept. 8, 2014) (typicality satisfied in wiretapping case); Zaklit v. Nationstar Mortg. LLC, 2017 WL 3174901, at *14 (C.D. Cal. July 24, 2017). Amazon intentionally intercepted Non-Registrants' private conversations for its own use and did so without Non-Registrants' consent, in violation of state wiretapping laws. Non-Registrants bring the same wiretapping claims arising from Amazon's use of Alexa to intercept their conversations. Finally,

1 Non-Registrants seek the same types of damages—statutory damages—for Amazon's violations of 2 the relevant state wiretap laws. 3 In addition, Registrant Plaintiffs are typical of the Registrant Class. Registrants share the 4 same material facts as Registrant Class members, bring a claim under the WCPA for the same 5 wrongdoing (Amazon's undisclosed retention and use of recordings not intended for Alexa), and seek the same types of damages. Specifically, each Registrant registered one or more Alexa 6 Devices and were subjected to the same terms and conditions, which inadequately described Alexa's operation and Amazon's use and retention of recordings. Alexa recorded each Registrants' communications, both those intended and not intended for Alexa. Although Alexa readily 10 , Amazon still recorded those conversations, stored the 11 audio, reviewed, and transcribed the contents (including by third parties), and 12 As a result, each Registrant suffered the 13 same injury, having been deprived of the inherent value of their personal data that Amazon 14 surreptitiously collected and used. See Ex. J. 15 Therefore, Plaintiffs are typical of the Registrant Class Members and Non-Registrant Class 16 Members. 17 4. Plaintiffs and Their Counsel Are Adequate Representatives of the Class 18 Adequacy requires a showing that the representative parties and their counsel will "fairly 19 and adequately protect the interests of the class." Fed. R. Civ. P. 23(a)(4), (g). This requirement 20 involves a two-prong test: "(1) do the named plaintiffs and their counsel have any conflicts of 21 interest with other class members and (2) will the named plaintiffs and their counsel prosecute the 22 action vigorously on behalf of the class?" Ellis v. Costco Wholesale Corp., 657 F.3d 970, 985 (9th 23 Cir. 2011). 24 Here, none of the named Plaintiffs "ha[ve] interests antagonistic to the class, and [P]laintiffs 25 have offered evidence that they will prosecute the action vigorously." Omni Hotels, 2014 WL 26 4627271, at *9. Registrant Plaintiffs bring the same claim for the same wrongdoing and seek 27 recovery for the same harm as the Registrant Class Members. Similarly, Non-Registrant Plaintiffs

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bring the same type of wiretap claims for the same alleged wrongdoing. Each Plaintiff has endured depositions and extensive discovery requests and demands, fully demonstrating their commitment to litigating this case on behalf of the Proposed Classes.

Lastly, Plaintiffs retained counsel with extensive "experience with class action actions, including ones involving [wiretapping claims]" and data privacy generally. *Id.*; *see also In re Yahoo Mail Litig.*, 308 F.R.D 577, 595 (N.D. Cal. 2015); Exs. RR; SS, UU, VV. Plaintiffs and their counsel are adequate representatives of the Proposed Classes.

B. The Registrant and Non-Registrant Classes Satisfy Rule 23(b)(3)

Rule 23(b)(3) requires a showing that (a) "the questions of law or fact common to class members predominate over any questions affecting only individual members" (*i.e.*, the predominance requirement) and (b) "a class action is superior to other available methods for fairly and efficiently adjudicating the controversy" (*i.e.*, the superiority requirement). The Proposed Classes satisfy both requirements.

1. Common Issues Predominate for the Proposed Classes

"The predominance inquiry tests whether proposed classes are sufficiently cohesive to warrant adjudication by representation." *Ngethpharat v. State Farm Mut. Ins. Co.*, 339 F.R.D. 154, 167 (W.D. Wash. 2021). However, Rule 23(b)(3) "does not require a plaintiff seeking class certification to prove that each element of her claim is susceptible to classwide proof." *Amgen, Inc. v. Conn. Ret. Plans & Tr. Funds, Inc.*, 568 U.S. 455, 469 (2013). Instead, "[w]hen one or more of the central issues in the action are common to the class and can be said to predominate, the action may be considered proper under Rule 23(b)(3) even though other important matters will have to be tried separately, such as damages or some affirmative defenses peculiar to some individual class members." *Tyson Foods, Inc. v. Bouaphakeo*, 577 U.S. 442, 453 (2016) (citation omitted). The key question is whether those "common, aggregation-enabling, issues in the case are more prevalent or important than the non-common, aggregation-defeating, individual issues." *DZ Rsrv. v. Meta Platforms, Inc.*, 96 F.4th 1223, 1238 (9th Cir. 2024) (quoting *Tyson Foods*, 577 U.S. at 453).

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Here, for both the Registrant and Non-Registrant Classes, common issues predominate over any hypothetical individual ones, satisfying Rule 23(b)(3)'s predominance requirement.

a. Common Issues Predominate for the Non-Registrant Classes

"The predominance analysis 'begins, of course, with the elements of the underlying cause of action." *Hunichen v. Atonomi LLC*, 2021 WL 5858811, at *8 (W.D. Wash. Nov. 12, 2021) (quoting *Erica P. John Fund, Inc. v. Halliburton Co.*, 563 U.S. 804, 809 (2011)). Here, the Non-Registrant Plaintiffs seek to bring wiretap claims under the laws of six states. The elements of those wiretap laws overlap substantially and do not conflict, with each having four essential elements: (i) the defendant's willful or intentional; (ii) use of a device; (iii) to "intercept" or "record" a communication; and (iv) without prior consent from all parties to the communication. RCW § 9.73.030(1)(b); Fla. Stat. §§ 934.03(1)(a), (2)(d); N.H. Rev. Stat. Ann. §§ 570-A:2(I)(a), (I-a); Cal. Penal Code §§ 631(a), 632(a); Md. Code Ann. Cts. & Jud. Proc. §§ 10-402(a)(1), (a)(3); 18 Pa. Stat. and Cons. Stat. §§ 5703(1), 5704(4).

Here, the common factual and legal issues raised by the wiretap claims predominate for two reasons: (1) Amazon's liability under the wiretap statutes depends almost entirely on common evidence of Amazon's wronging, its intent in developing and deploying Alexa, and of Alexa's surreptitious recording of communications without a wake word; and (2) statutory damages are easily calculable on a per-State-Class member basis. The overwhelming common issues of liability and damages will, as described below, predominate over any hypothetical individual defenses Amazon raises.

(1) <u>Common Evidence Will Establish That Amazon Violated the State Wiretap Statutes</u>

Courts have previously recognized wiretapping claims as highly suitable for class treatment given predominating common issues. *See, e.g., Romero v. Securus Techs., Inc.*, 331 F.R.D. 391, 411 (S.D. Cal. 2018); *Omni Hotels*, 2014 WL 4627271, at *12-13; *Campbell v. Facebook Inc.*, 315 F.R.D 250, 264–69 (N.D. Cal. 2016); *see also Rodriguez v. Google LLC*, 2024 WL 38302, at *8-9 (N.D. Cal. Jan. 3, 2024). The Court should find the same here.

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Amazon's liability under the state wiretap laws depends almost entirely on its alleged
misconduct, meaning Non-Registrants will rely on common evidence to prove their claims. For
instance, Amazon's intent to intercept or record communications depends entirely on its state of
mind, a common Class-wide issue. See, e.g., Romero, 331 F.R.D. at 411 (rejecting defendant's
argument that "whether it intentionally recorded calls" was an individualized question because "the
evidence before the court suggest[ed]" it may be established by "common proof"); McMillion v.
Rash Curtis & Assocs., 2017 WL 3895764, at *5 (N.D. Cal. Sept. 6, 2017) ("[T]he question of
defendant's willfulness and knowledge is a common question"). Here, each Non-Registrant Class
member, in an individual claim,9 would rely on the same common evidence of Amazon's actions,
knowledge, and communications concerning Alexa to show Amazon intended Alexa to broadly
record conversations, even those it identified as not intended for Alexa, because Amazon benefited
from the data obtained. See Section II(A)-(B).
Similarly, consent is widely recognized to be a class-wide question that supports rather than
detracts from the predominance of common questions. See Kristensen v. Credit Payment Servs., 12

detracts from the predominance of common questions. *See Kristensen v. Credit Payment Servs.*, 12 F. Supp. 3d 1292, 1307 (D. Nev. 2014) ("The Ninth Circuit has held that in the absence of any evidence of consent by the defendant, consent is a common issue with a common answer."); *McMillion*, 2017 WL 3895764, at *5 ("Defendant engaged in the same practice with respect to all class members, and whether that practice was performed without prior express consent is common to the classes."). Here, Non-Registrants, by definition, did not register an Alexa device and, consequently, were not presented with any of Amazon's purported disclosures concerning Alexa's operation or surreptitious recording of conversations with no wake word. *See* Section II.

Courts have rejected defendants' attempts to interject supposed individual issues into questions of consent by citing supposedly public evidence. *See Campbell*, 315 F.R.D at 265–67;

⁹ As Northern District of California Judge James Donato remarked last year, there is virtually no difference between an individual trial and a class trial, "aside from literally one jury instruction in the beginning of the case saying this is a class action ... [I]n terms of the liability ... what's true for one member of the class if you win is true for all 21 million members of the class." Transcript of Proceedings at 52:14-22, *In re Google Play Store Antitrust Litig.*, No. 3:21-md-02981 (N.D. Cal. Aug. 3, 2023).

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1	Rodriguez, 2024 WL 38302, at *8–9. Where, as here, there is no evidence except for hypothetical
2	avenues of consent, courts deem the question of consent to be common to the class. Meyer v.
3	Portfolio Recovery Assocs., LLC, 707 F.3d 1036, 1042 (9th Cir. 2012) (holding an implied consent
4	defense did not defeat predominance because the defendant "did not show a single instance where
5	express consent was given before the call was placed"); see also Van v LLR, Inc., 61 F.4th 1053,
6	1068 (9th Cir. 2023) ("We do not permit a defendant to support its invocation of individualized
7	issues with mere speculation.").
8	Finally, whether Non-Registrants had a conversation recorded by Alexa is ascertainable on a
9	Class-wide basis using Defendants' own data. Non-Registrants never registered Alexa Devices and,
10	therefore, accounts of the instances where Alexa recorded them unintentionally. However, Amazon
11	that will serve to confirm the
12	violation. This data includes the
13	of each communication. Moreover, Amazon has a
14	. See Ex.
15	CC at Ex. WW at Indeed, Amazon's own data establishes that
16	. See Ex. XX §III. To ensure that
17	each Non-Registrant was recorded, Plaintiffs limited inclusion into the Class to those who resided
18	with a Registrant using an active Alexa device—making it almost certain they were surreptitiously
19	recorded given the sheer frequency of false wakes.
20	In similar circumstances, the Supreme Court has held that class members can uniformly rely
21	on an expert sample to establish a common element of their claims, and the common dependence on
22	that sample supports predominance. <i>Tyson Foods</i> , 577 U.S. at 456–58. In <i>Tyson Foods</i> , plaintiffs
23	used an expert's representative sample to establish the amount of time each class member spent
24	putting on and taking off protective clothing, time for which the plaintiffs alleged they were unpaid.
25	<i>Id.</i> at 450, 454. The Court not only upheld the use of that sample, but also found the reliability of
26	the sample to be a common question supporting predominance. <i>Id.</i> at 457.
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Here, like in Tyson Foods, all Non-Registrant Plaintiffs and Class members commonly rely on Amazon's false wake metrics to establish that Alexa would have recorded their conversations surreptitiously, creating a common issue supporting predominance. Collectively, common issues of fact and law predominate over any supposed individualized ones concerning Non-Registrants.

Common Issues Predominate Regarding the Relief Non-(2)Registrants Seek

As with liability, Non-Registrants' damages will be easily established on a Class-wide basis because they seek statutory damages. Under each of the relevant wiretap statutes, Non-Registrants can obtain statutory damages for any violation. Cal. Penal Code § 637.2(a)(1) (awarding \$5,000 per violation); Fla. Stat. § 934.10(1)(b) (stating that "liquidated damages computed at the rate of \$100 a day for each day of violation or \$1,000, whichever is higher"); Md. Code Ann. Cts. & Jud. Proc. § 10-410(a)(1) (same); N.H. Rev. Stat. Ann. § 570-A:11(a) (same); 18 Pa. Cons. Stat. § 5725(a)(1) (same).

Non-Registrants seek a set amount in statutory damages, ensuring that any damages issues predominate. Hawkins v. S2Verify, 2016 WL 3999458, at *6 (N.D. Cal. July 26, 2016) (holding that where plaintiffs "seek only statutory damages[,] [d]amages can ... be proven through class-wide proof"); Zaklit, 2017 WL 3174901, at *9 ("Courts have been more willing to find predominance where, as here, the class seeks only statutory damages."); see also Golden v. Am. Pro Energy, 2017 WL 10573989, at *3 (C.D. Cal. May 11, 2017); Schuchardt v. Law Off. of Rory W. Clark, 2016 WL 232435, at *6 (N.D. Cal. Jan. 20, 2016) (finding predominance satisfied because "[i]f the [c]ourt were to find that the [defendant violated the statute], that single adjudication would reach the claims of all [c]lass [m]embers"); Gold v. Midland Credit Mgmt., Inc., 306 F.R.D. 623, 633-34 (N.D. Cal. 2014) ("At bottom, the broad remedial purpose of the [Fair Debt Collection Practice Act] compels [the] conclu[sion] that the Rule 23(b)(3) requirement of predominance is satisfied where, as here, statutory damages are sought[.]"); In re Toys "R" Us - Del., Inc. - FACTA Litig., 300 F.R.D. 347, 377 (C.D. Cal. 2013).

Damages, as with liability, are common, and those common questions predominate here. As such, the Court should certify the Non-Registrant Classes.

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2. **Common Issues Predominate for the Registrant Class**

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The Registrants' claim similarly presents common issues of fact and law that predominate over any hypothetical individual issues. Registrants bring a single claim under the WCPA, alleging Amazon acted unfairly and deceptively in the development, deployment, and use of Alexa Devices. Here, common issues predominate for the Registrant Class for three reasons: (1) the Registrants' claims are each governed by Washington law; (2) Amazon's liability under the WCPA depends on common evidence and a rebuttable presumption of reliance; and (3) Plaintiffs have common evidence of a Class-wide injury and damages.

Washington Law Governs the Registrant Class's Claim a.

When considering predominance, courts evaluate which law applies to the class. See Senne v. Kan. City Royals Baseball Corp., 934 F.3d 918, 928 (9th Cir. 2019). Here, the Court previously held that Washington law applies to all Registrants. See ECF No. 91 at 8-12 (holding Washington law applied to Registrants under Amazon's contractual choice of law provision and, separately, under Washington's choice of law rules). As such, the Registrant Plaintiffs' claim will be governed by uniform legal principles, supporting predominance. Senne, 934 F.3d at 928; see also Yokoyama v. Midland Nat'l Life Ins. Co., 594 F.3d 1087, 1094 (9th Cir. 2010) (reversing denial of class certification for single state-law consumer protection claim); Klay v. Humana, Inc., 382 F.3d 1241, 1262 (11th Cir. 2004) (holding that where a class claim "is based on a principle of law that is uniform among the states, class certification is a realistic possibility").

Common Evidence Establishes Amazon's WCPA Liability

Amazon's liability under the WCPA further supports predominance because it depends almost entirely on Amazon's own conduct. "To prevail in a private CPA claim, the plaintiff must prove (1) an unfair or deceptive act or practice, (2) occurring in trade or commerce, (3) affecting the public interest, (4) injury to a person's business or property, and (5) causation." *Panag v. Farmers* Ins. Co. of Wash., 204 P.3d 885, 889 (Wash. 2009).

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In evaluating whether a defendant violated the WCPA, Washington courts do not look to
whether the individual plaintiffs or class members were deceived, but rather, whether the alleged
wrongdoing "ha[s] the capacity to deceive a significant portion of the public." <i>Tashiro-Townley v.</i>
Bank of N.Y. Mellon, 2016 WL 3551810, at *2 (W.D. Wash. June 30, 2016); Young v. Toyota Motor
Sales, U.S.A., 472 P.3d 990, 994 (Wash. 2020) ("[A] plaintiff need not show that they—or anyone—
were in fact deceived."). Likewise, because "an act or practice can be unfair without being
deceptive the CPA itself declares 'unfair acts or deceptive acts or practices' are sufficient to satisfy
the acts or practices prong of a CPA action." Klem v. Wash. Mut. Bank, 295 P.3d 1179, 1187 (Wash.
2013).
In evaluating whether a defendant's wrongdoing impacted the "public interest," courts

In evaluating whether a defendant's wrongdoing impacted the "public interest," courts examine several factors, all of which examine a defendant's actions. *See Hangman Ridge Training Stables, Inc. v. Safeco Title Ins. Co.*, 719 P.2d 531, 537-38 (Wash. 1986) (explaining that the "factors ... relevant to establish public interest" are: "(1) Were the alleged acts committed in the course of defendant's business? (2) Are the acts part of a pattern or generalized course of conduct? (3) Were repeated acts committed prior to the act involving plaintiff? (4) Is there a real and substantial potential for repetition of defendant's conduct after the act involving plaintiff? (5) If the act complained of involved a single transaction, were many consumers affected or likely to be affected by it?").

Consequently, whether Amazon acted unfairly or deceptively in violation of the WCPA exclusively depends on evidence of Amazon's wrongdoing and its public impact, not on individual deception or reliance. *See DZ Rsrv.*, 96 F.4th at 1234 (holding that "consumer fraud victims often present a 'cohesive group' because ... the crux of each consumer's claim is that a company's mass marketing efforts, common to all consumers, misrepresented the company's product" (citation omitted)); *Amchem Prods. v. Windsor*, 521 U.S. 591, 625 (1997) ("Predominance is a test readily met in certain cases alleging consumer ... fraud.").

Here, Registrants show through common evidence that Amazon omitted information regarding how Alexa functioned and the extent to which Defendants collected, used, and profited

1	from the data obtained from users' Alexa Devices. Exs. J; XX. While Amazon purportedly
2	explained that Alexa recorded communications to respond to inquiries, Amazon omitted or engaged
3	in practices to hide that (i) Alexa recorded communications to retrieve and transcribe data, even
4	during ; (ii) the recordings and
5	transcriptions of the recordings would be
6	and (iii) even when a Registrant could delete a voice recording,
7	. Further, Amazon had a vested interest in using Alexa Devices as data-mining tools to
8	obtain information that it could . These
9	omissions lead to a presumption of reliance under Washington law, tied to the reasonable consumer
10	standard: "This presumption shifts the focus of the causation inquiry from what information each
11	class member received to what the defendant 'allegedly concealed in light of what consumers
12	reasonably expect,' a question capable of generating a common answer across the class without
13	substantial individualized inquiries." Blough v. Shea Homes, Inc. 2014 WL 3694231, at *13 (W.D.
14	Wash. July 23, 2014) (citing Gray's Harbor Adventist Christian Sch. v. Carrier Corp., 242 F.R.D.
15	568, 573 (W.D. Wash. 2007)).
16	Thus, the uniform evidence required to establish the elements of a WCPA claim supports the
17	predominance of common issues.
18 19	4. Registrant Plaintiffs Can Establish Injury and Damages Using Common Evidence
20	In addition to liability, Registrants will use common evidence and expert testimony to
21	establish Class-wide injury and damages due to Amazon's omissions, acts, and practices. Under the
22	WCPA, a plaintiff's injury "need not be great." Mason v. Mortg. Am., Inc., 792 P.2d 142, 148-49
23	(Wash. 1990). "[W]ith regard to injury, no monetary damages need be proven" and even
24	"nonquantifiable injuries would suffice for [the injury] element of the [WCPA]." Sign-O-Lite
25	Signs, Inc. v. DeLaurenti Florists, Inc., 825 P.2d 714, 720 (Wash. Ct. App. 1992).
26	Here, the Registrants assert they suffered monetary harm from Amazon's use of their audio
27	recordings for its own benefit, depriving the Registrants of the value of their information. See
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Martinez v. ZoomInfo Techs., Inc., 2022 WL 1078630, at *4 (W.D. Wash. Apr. 11, 2022)
(recognizing the "commercial value of [plaintiff's] persona" and finding standing where
"[defendant] use[d] her persona [in ways that] deprive[d] her of its value"). Specifically, while
Amazon explained that Alexa recorded to respond to inquiries, Amazon omitted that it used Alexa
as a

Plaintiffs proffer expert testimony to establish the value of the information Amazon surreptitiously obtained by misrepresenting the operation of its Alexa Devices. By surreptitiously taking that information for its own benefit, Amazon wrongly deprived the Registrants of the value of that information. *See* Ex. XX. The loss of the value of that data constitutes a common injury for the Registrants. *See Mut. Of Enumclaw Ins. Co. v. Gregg Roofing, Inc.*, 315 P.3d 1143, 1150 (Wash. Ct. App. 2013) ("[O]nce the [plaintiff] establishes the fact of loss with certainty ... uncertainty regarding the amount of loss will not prevent [his] recovery."); *Mason*, 792 P.2d at 148 (finding an injury under the WCPA where plaintiff's "money [wa]s diminished because of the unlawful conduct", although the losses "[we]re minimal").

The common method of establishing damages further supports predominance. As such, the Court should certify the Registrant Class.

C. The Class Action Device Is the Superior Method of Adjudication

"The superiority requirement tests whether classwide litigation of common issues will reduce litigation costs and promote greater efficiency." *Hilsley v. Ocean Spray Cranberries, Inc.*, 2018 WL 6300479, at *18 (S.D. Cal. Nov. 29, 2018). "Superiority is met where the case involves multiple claims for relatively small individual sums and where some or all of the plaintiffs may not be able to proceed as individuals because of the disparity between their litigation costs and what they hope to recover." *Id.*

Here, both Registrants and Non-Registrants have a substantial disparity in the costs of litigating against a sophisticated defendant like Amazon and the value of Plaintiffs' claims. The damages Registrants pursue for the lost value of their personal information are dwarfed by the costs

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of litigation. *In re NJOY, Inc. Consumer Class Action Litig.*, 120 F. Supp. 3d 1050, 1123 (C.D. Cal. 2015) ("Given the small recovery any individual plaintiff might expect, moreover, concentrating the litigation in a single forum is appropriate.").

The same is true for Non-Registrants, who, despite seeking statutory damages, would never recover more than the costs of litigation should they have pursued their own individual actions. *See Zaklit*, 2017 WL 3174901, at *10 ("[T]he Court is not persuaded that \$5,000 in [statutory] damages is so clearly sufficient to motivate individual litigation involving complex factual and legal issues as to weigh against class certification"); *Hawkins*, 2016 WL 3999458, at *6.

Without the availability of a class action, neither Non-Registrants nor Registrants would have the opportunity to bring their claims on behalf of themselves and the Proposed Classes.

Consequently, a class action is the superior device, especially given the uniformity of the claims and factual disputes, satisfying the requirements of Rule 23(b)(3).

D. The Registrant Class Satisfies the Rule 23(b)(2) Requirements for Injunctive Relief

Rule 23(b)(2) allows certification when "the party opposing the class has acted or refused to act on grounds that apply generally to the class, so that final injunctive relief . . . is appropriate respecting the class as a whole[.]" Unlike Rule 23(b)(3), which focuses on the actions of the individual class member, Rule 23(b)(2) focuses on the acts or omissions of the defendant, eliminating the superiority and predominance requirements. The Advisory Committee's notes to the 1966 amendment explains general applicability as "action or inaction . . . directed to a class . . . even if it has taken effect or is threatened only as to one or a few members of the class, provided it is based on grounds which have general application to the class." Further, injunctive relief is available under the Registrant Plaintiffs' WCPA claim. RCW § 19.86.090.

Amazon acted uniformly in relation to the Registrant Class by surreptitiously recording them and, without disclosure,

. Monetary damages cannot undo the significant privacy violations imposed by Amazon's willful retention and use of recorded private conversations. Plaintiffs seek certification of a Rule

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1	12(b)(2) Registrant Class to (i) enjoin Amazon from using now or in the future any algorithm		
2	trained using the surreptitious recordings, transcripts of the surreptitious recordings, and data from		
3	the surreptitious recordings; and (ii) to require Amazon to destroy any recordings, transcripts, or		
4	data stemming from the surreptitious recordings.		
5	V. CONCLUSION		
6	The Court should certify the Registrant Class and each Non-Registrant Class, and appoint		
7	named Plaintiffs as Class Representatives, and Labaton Keller Sucharow LLP and Robbins Geller		
8	Rudman & Dowd LLP as Class Counsel.		
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1	LOCAL RULE 7(e)(6) CERTIFICATION
2	I certify that this reply memorandum contains 8,382 words, in compliance with the Local
3	Civil Rule 7(e)(3).
4	Dated: June 18, 2024
5	By: <u>/s/ Bradley S. Keller</u> Bradley S. Keller
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